

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
10237.6

In Re Application Of: Eddie M. Schwalb and Lee M. Chen

Serial No.

09/823,869

Filing Date

March 30, 2001

Examiner

n/a

Group Art Unit

n/a

Invention: **METHODS AND SYSTEMS FOR MASS CUSTOMIZATION OF DIGITAL TELEVISION  
BROADCASTS IN DASE ENVIRONMENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
May 15, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Assignment of Rights, Title and Interest in Invention, and postcard.**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
10237.6

In Re Application Of: **Eddie M. Schwalb and Lee M. Chen**

Serial No:  
09/823,863

JUN 26 2001

Filing Date  
March 30, 2001

Examiner  
n/a

Group Art Unit  
n/a

Invention: **METHODS AND SYSTEMS FOR MASS CUSTOMIZATION OF DIGITAL TELEVISION  
BROADCASTS IN DASE ENVIRONMENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$710.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 6 \$240.00

☒ Total number of claims = 42 \$396.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$1,476.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$1,476.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
10237.6

In Re Application Of: **Eddie M. Schwalb and Lee M. Chen**

Serial No.  
09/823,863

JUN 26 2001

Filing Date  
March 30, 2001

Examiner  
n/a

Group Art Unit  
n/a

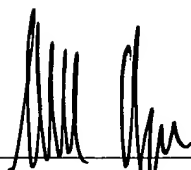
Invention: **METHOD AND SYSTEMS FOR MASS CUSTOMIZATION OF DIGITAL TELEVISION  
BROADCASTS IN DASE ENVIRONMENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,476.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **500843**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.



Signature

**Michael F. Krieger**  
Attorney for Applicant  
Kirton & McConkie  
1800 Eagle Gate Tower  
60 E. South Temple Street  
Salt Lake City, Utah 84111  
Telephone: (801)328-3600  
Facsimile: (801)321-4893

Dated: **June 22, 2001**

I certify that this document and fee is being deposited  
on **June 22, 2001** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

**Michael F. Krieger**

Typed or Printed Name of Person Mailing Correspondence

CC:



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/823,863	03/30/2001	Eddie M. Schwalb	10237.6

CONFIRMATION NO. 7028

## FORMALITIES LETTER



\*OC00000006076236\*

KIRTON & McCONKIE  
1800 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, UT 84111-1004

Date Mailed: 05/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$636.
  - \$396 for 22 total claims over 20.
  - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1476.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/28/2001 09823863 00000184 ENGUYEN1  
01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105  
710.00 OP  
240.00 OP  
396.00 OP  
130.00 OP